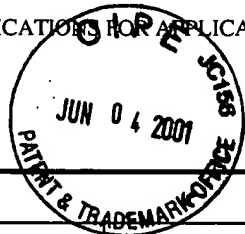


FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)



ATTY. DOCKET NO.  
ROC920000125US1

SERIAL NO.  
09/732,189

APPLICANT:  
Robert Miller

FILING DATE:  
December 7, 2000

GROUP:  
2152

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
AL					
AM					
AN					
AO					
AP					

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Timothy Roy-Block, IBM Patent Application filed November 22, 2000, Serial No. 09/718,924, "Apparatus and Method for Communicating Between Computer Systems Using a Sliding Send Window for Ordered Messages in a Clustered Computing Environment."
AS	
AT	

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

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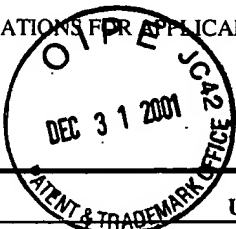
APPLICANT:  
Robert Miller

JAN 04 2002

FILING DATE:  
December 7, 2000

GROUP  
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REFERENCE DESIGNATION

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	AA					
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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
						YES NO
	AL					
	AM					
	AN					
	AO					
	AP					

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

HK	AR	U.S. Patent Application, Serial No. 09/697,398, entitled "Group Data Sharing During Membership Change in Clustered Computer System," filed on October 27, 2000 by Miller et al. (ROC920000129US1)
HE	AS	U.S. Patent Application, Serial No. 09/638,328, entitled "Merge Protocol for Clustered Computer System," filed on August 14, 2000 by Miller et al. (ROC920000130US1)
HE	AT	

EXAMINER

Huggen Chai

DATE CONSIDERED

3/2/04

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 2

Application Number.: 09/732,189  
 Filing Date: December 7, 2000  
 First Named Inventor: Miller  
 Art Unit: 2152  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920000125US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
HE	A	US - 4,709,365	11-24-1987	Beale et al.	
HE	B	US - 5,146,590	09-08-1992	Lorie et al.	
HG	C	US - 5,179,699	01-12-1993	Iyer et al.	
HE	D	US - 5,502,818	03-26-1996	Lamberg	
HE	E	US - 5,640,554	06-17-1997	Take	
HE	F	US - 5,729,687	03-17-1998	Rothrock et al.	
HE	G	US - 5,973,724	10-26-1999	Riddle	
HE	H	US - 6,317,867 B1	11-13-2001	Elnozahy	
HE	I	US - 6,427,148 B1	07-30-2002	Cossock	
HE	J	US - 6,460,039 B1	10-01-2002	Pinter et al.	
HE	K	US - 6,529,958 B1	03-04-2003	Oba et al.	
HE	L	US - 6,564,372 B1	05-13-2003	Babaian et al.	
HE	M	US - 6,578,032 B1	06-10-2003	Chandrasekar et al.	

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**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature	<u>Hassan Chaudhry</u>	Date Considered	<u>3/2/04</u>
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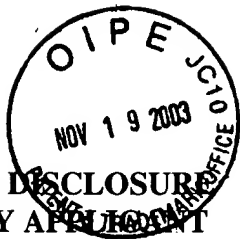
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Application Number.: 09/732,189  
 Filing Date: December 7, 2000  
 First Named Inventor: Miller  
 Art Unit: 2152  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920000125US1

Sheet 2 of 2

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T <sup>2</sup>
HE	N	ARINDAM BANERJI et al., "High-Performance Distributed Shared Memory Substrate for Workstation Clusters," in Proc. of the Second IEEE Int'l Symposium on High Performance Distributed Computing, January 1993	
HE	O	JING-CHIOU LIOU et al., "A Comparison of General Approaches to Multiprocessor Scheduling," Parallel Processing Symposium, 1997, Proceedings, 11th International, April 1-5, 1997, Pages 152-156	
HE	P	LESZEK LILIEN, "Quasi-Partitioning: A New Paradigm for Transaction Execution in Partitioned Distributed Database Systems," Data Engineering, 1989, Proceedings, Fifth International Conference on February 6-10, 1989, Pages 546-553	
HE	Q	PEI YUNZHANG et al., "Totally Ordered Reliable Multicast for Whiteboard Application," Department of Computer Science and Technology, Tsinghua University, Beijing, 1999, Pages 1-7	
HE	R	WANLEI ZHOU et al., "Parallel Recovery in a Replicated Object Environment," School of Computing and Mathematics, Deakin University, Pages 1-6	
HE	S	D. A. AGARWAL et al., "A Reliable Ordered Delivery Protocol for Interconnected Local-Area Networks," in Proc. of the International Conference on Network Protocols, Tokyo, Japan, November 1995, Pages 365-374	

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Examiner Signature	<i>Missa Chant</i>	Date Considered	3/2/04
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number.: 09/732,189

Filing Date: December 7, 2000

First Named Inventor: Robert Miller

Art Unit: 2152

Examiner Name: Unassigned

Attorney Docket Number.: ROC920000125US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
HE		US - 2003/0041138 A1	02-27-2003	Kampe et al.	
HE		US - 5,704,032	12-30-1997	Badovinatz et al.	
HE		US - 5,787,249	07-28-1998	Badovinatz et al.	
HE		US - 5,805,786	09-08-1998	Badovinatz et al.	
HE		US - 5,926,619	07-20-1999	Badovinatz et al.	
HE		US - 6,108,699	08-22-2000	Mojin	
HE		US - 6,343,320 B1	01-29-2002	Faichild et al.	
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Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature	Hussa Cheryl;	Date Considered	3/2/04
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